

P19: ECON-139 Sec 01 - Prin of Invest

San José State University
Department of Economics

Econ 139 Principles of Investments
Spring 2018

Course and Contact Information

Instructor: Peter Verbica, CFP®, CRPC®, MS, JD

Office Location: DMH 219

Email: peter.verbica@sjsu.edu

Office Hours: Monday 5:30 pm to 6:15 pm and by appointment

Class Days/Time: Monday 6:30 pm

Classroom: DMH 358

COURSE DESCRIPTION AND OVERVIEW:

This course surveys investment principles and applications. Its aim is to help you make sensible

Overall, this course should make you a better financial consumer and assist you and your family in making better investment decisions. Major topics to be covered include present-value theory, interest-rate determinants, bond markets, the efficient-market hypothesis, and theories used in determining the appropriate value of stock. Major student learning objectives include the following key areas:

- Introduction and understanding of fundamental market movements.
- Initial Public Offering, how they work and who does what.
- Corporate Governance and key roles of the following:
 - Management
 - The board of directors
 - The independent registered certified public accountants
 - The Securities and Exchange Commission (SEC)
 - The Financial Accounting Standards Board
- Basic 1933/34 SEC Act reporting inc428.88 16t /CS0 c48 (ng)-12(S)-9.7 (uf* BT l8.2 (r))(nt)-13.2e 1 Tf ortingc6l

CLASSROOM PROTOCOL

Please show up to class on time and ready to learn. Please turn off all cell phones, pagers etc and be prepared to take notes. Please note that class attendance is critical if you are going to receive a satisfactory grade. I highly recommend that you complete all homework assignments and all extra credit to help your final grade. Further, if you miss a class, I strongly recommend that you get the notes from a fellow student for the lecture missed.

DROPPING AND ADDING

Students are responsible for understanding the policies and procedures about add/drops, academic renewal, etc. [Information on add/drops \(Links to an external site.\)](#)[Links to an external site.](#) are available at:

http://my.sjsu.edu/docs/sa/self_service_student/SG_SR_SS_Enroll_Add_Class_9.0.pdf [\(Links to an external site.\)](#)[Links to an external site.](#)

[Information about late drop \(Links to an external site.\)](#)[Links to an external site.](#) is available at:

<http://www.sjsu.edu/gape/docs/withdrawal%20form.pdf> [\(Links to an external site.\)](#)[Links to an external site.](#)

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86% - 84%	B
83% - 80%	B-
79% - 77%	C+
76% - 74%	C
73% - 70%	C-
69% - 67%	D+
66% - 64%	D
63% - 60%	D-
below 60%	F

Academic integrity

Students should know that the [University's Academic Integrity Policy \(Links to an external site.\)](#)[Links to an external site.](#) is available at <http://info.sjsu.edu/static/catalog/integrity.html> [\(Links to an external site.\)](#)[Links to an external site.](#). Your own commitment to learning, as evidenced by your enrollment at San Jose State University and the University's integrity policy, require you to be honest in all your academic course work.

- Mayo, ch. 2
- Investment companies
Mayo, ch. 6 & 7
- SEC reporting, corporate governance, and the IPO process.
Lecture
- Financial Planning, Taxation, and the efficiency of financial markets
Mayo, ch. 4
- The Time Value of Money
Mayo, ch. 3

II. Evaluation of common stock

- Risk and rates of return
Mayo
 - Stocks and Fundamental analysis
Mayo ch. 8
 - Technical analysis
Mayo, ch. 12
 - The efficient-market hypothesis
Mayo
 - Rates of return and stock indices
Mayo, ch. 10
- Mayo, ch. 12