Instructor: Ninh D. Nguyen, Ph.D.

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Office Hours: M/W 7AM to 7:30AM (and in between courses)

Class Days/Time: M/W 7:30AM to 8:45AM

Classroom: DMH 348

Course ID: 27728

Stocks, bonds, money market instruments, options, futures and real estate. Institutions, markets and theory. Speculation, present value theory, yields, term structure, taxes, portfolios and insurance. Prerequisite: ECON 1A and ECON 1B.

Apply CAPM and various fundamental analysis techniques to arrive at a bias for valuing common stock.

Identify and interpret securities valuation to include fundamental analysis of a company and technical analysis of the underlying security.

Describe and be able to contrast the various forms of the efficient market hypothesis and demonstrate how they exemplify information efficiency.

Define and discuss the structuring of optimal portfolio strategies in relation to firm portfolio of goods and services.

There will be three mid-terms and one final exam. Exams will consist of a combination of quantitative, problem solving and essay questions. Examination blue books are not required for examinations. **Make up exams will not be given. Late exams will not be counted.**

Your final course grade will be determined by two intermediate exam (25%), final exam (30%) and your homework (20%).

Your commitment as a student to learning is evidenced by your enrollment at San Jose State University. The University’s Academic Integrity policy S07-2, located at http://www.sjsu.edu/senate/S07-2.htm, requires you to be honest in all your academic course work. Faculty members are required to report all infractions to the office of Student Conduct

Peer Connections, a campus-wide resource for mentoring and tutoring, strives to inspire students to develop their potential as independent learners while they learn to successfully navigate through their university experience. You

Week	Date	Topics, Readings, Assignments, Deadlines
1	01/24	Introduction to Course Structure & Navigation. Theories, Models and Concepts, Introduction of Model Use.
2	01/28	Chapter 1: Investments - Background & Issues Chapter 2: Asset Classes and Financial Instruments
3	02/04	Chapter 3: Securities Market Chapter 4: Mutual Funds and Investment Companies
4	02/11	Chapter 5: Risk/Return
5	02/18	Review for Mid-Term 1. **Mid-Term 1 on 02-21-2024.**
6	02/25	Chapter 6: Efficient Diversification
7	03/03	Chapter 7: CAPM
8	03/10	Chapter 8: Efficient Market Hypothesis
9	3/17	Review for Mid-Term 2. **Mid-Term 2 on 03-20-2024.**
10	03/24	Chapter 9: Behavioral Finance & Technical Analysis - Part 1
11	03/31	**SPRING BREAK – No Lecture**
12	04/7	Chapter 9: Behavioral Finance & Technical Analysis - Part 2
13	04/14	Chapter 15: Option Markets – introduction
14	04/21	Review for Mid-Term 3. **Mid-Term 3 on 04-25-2024.**
15	04/28	Chapter 18: Portfolio Performance Evaluation – introduction
16	05/05	Chapter 20: Hedge Funds Chapter 21: Taxes, Inf