

6 DQ - R WpDQHYHUVLW\
& ROOHJH RI 6 RFLDO 6 FLHQFH V 'HSDUWPHC
- 6 - XVWLFH 2 UJDQL]DWLRQV (WKLFV DQG &

& RXUVH DQG & RQWDFW , QIRUPDWLRQ

Instructor(s): Edith Kinney, J.D., Ph.D.

Office Location: MacQuarrie Hall 525A

Telephone:

FRPPXQLFDWL RQ V\VVWHP DV LQGLFDWHG FRUWKHIOLSQ\WWUK FW RQJ &DQYDV 6WXGHQW 5HVRXUFHV SDJH

Program Learning Outcomes (PLOs)

\$W WKH HQG RI D ODVWHU\ V GHJUHH SURJUDP LQ WKH 'HS DUWF

1. &RQYH\ DGYDQFHG FRQFHSWV WKHRUHWLFDO SHUVSHFWLY VWXGLHV RI FULPLQDO DQG VRFLDO MXVWL FH
2. 'HVLJQ LPSOHPHQW DQG HYDOXDWH UHVHDUFK PHWKRGV FROOHFWLRQ DQDO\VLV DQG LQWHUSUHW DWLRQ
3. &ULWLFD O\ HYDOXDWH VRFLDO DQG FULPLQDO MXVWL FH
4. \$SSO\ PXOWLGLVFLSOLQDU\ NQRZOHGJH DQG SULQFLSOHV I SUREOHPV LQ FRPPXQLWLHV DQG RU MXVWL FH UHODWHG L
5. 'HPRQVWUDWH NQRZOHGJH DQG VNLOOV QHHGHG WR SXUVX GHJUHHV LQ MXVWL FH UHODWHG ILHOGV

Course Learning Outcomes (CLO)

8SRQ VXFFHVVIXO FRPSOHWLRQ RI WKLV FRXUVH VWXGHQWV Z

CLO 1- 'HVFULEH NH\ IHDXUHV RI RMK\XW\WLFRIUR\ DQG SULVRQV

CLO 2- , GHQWL\ FRUH OHJDO DQG Q\WKLGD\ DOV\TQ\ X\WVWV\Q\ GLVFUHWLRQ LQ MXVWL FH V\VVWHPV

CLO 3- :ULWH DQG SUHVHQW DQURVH\ DQG Q\WKLGD\ UHIRUP HIRUVV

CLO 4- 3UDFWLFH VRFLDO VF\H\Q\NDW\LLRQO\ DQG Q\WKLGD\

Required Texts/Readings

6WXGHQWV LQ -6 ZLOO H[DPLQH D \DUDIOWR DRW\W\H\PD\OW LQ\B\Q\ RUJDQL]DWLRQ LQYHVWLJDWRU\ PDWHULD OV DQG UHSRUWV VF DGGUHVVLQJ MXVWL FH UHIRUPV

6WXGHQWV DUH H[SHFWHG WR VXEVFULEH WR DQG UHDG 7KH O **KWWSV ZZZ WKHPDUVKDOOSURMHFW RUJ QHZVOHWWHUV"YLD**

Textbooks

%DONR 5LVH RI WKH ZDUULRU FRS WKH PLOLWDUL]DWLR 3XEOLF\$IDLUV

5XGHV ' 5HGZRRG &LW\ 8QLYHUVLW\ 3UHVV **KWWSV GRL RUJ**

*DUGLQHU DQG ODOOLFRDW (GV &ULPLQDO -XVWL FH 3R

-XVWL FH 2UJDQL]DWLRQV (WKLFV &KDQJH -6)DOO 3DJHRI

7KURXJKRXW WKH VHPHVWHU VWXGHQWV VKRXOG UHDG DQG U
3DUWLFLSDWLRQ LQ WKH VHPLQDU DQG HQJDJPHQW ZLWK FRX
DQG ZKDW GRHV QRW IRU WKH VHPLQDU ¶V -XVWLFH 2UJDQL]DW
HQFRXUDJH VXEVDQWLYH GLVFXVVLRQ QRW MXVW ³, DJUHH
SRLQWV ZKHUH \RX GLVDJUHH GLYHUJH IURP WKH DXWKRU U

6WXGHQWV VKRXOG SUHSDUH WR SUHVHQW WKHLU FXUUHQW H
PHHWLQJ DQG ZLOO EH ³RQ FDOO' WR KHOS JXLGH VHPLQDU G

SROLFLQJ DQG FRUUHFWLRQV RUJDQL]DWLRQV DQG GDWDEDVH

'XH 'D\QHFODVV SUHMRQ\MDHWLRQV RQ

/HDUQLQJ_2 X&WFRP&M2 &/2

)LQDO 3URMHFW RI JUDGH
,Q WKH ILQDO SURMHFW VWXGHQWV PD\ FKRRVH WR VXEPLW D
UHODWHG WR SROLFLQJ SXQLVKPHQW DQG MXVWL FH RUJDQL]D
DUH LQ WKH 0 6 3URJUDP LW LV HQWLUHO\ DSSURSULDWH WR
VKRXOG PHHW ZLWK WKH SURHVVRU LQ RIILFH KRXUV WR GLVF
FKDQFH WR SUHVHQW LQIRUPDWLRQ LQ FUHDWLYH ZD\V LQ D IR
JUDSKLF QRYHO RU GHYHORSLQJ LQIRJUDSKLFV RU D PXWOL P

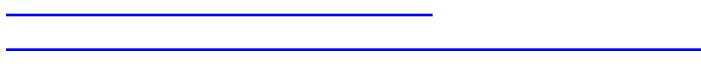
'XH 'D\QHFSDUH PLQXWH O\LRKWWQKLQ J\ ISKHHG\ XQHQGD7\KLDQH\ B\|DP SH
'HFHPEHU IURP 3RVW ILQDPO SURMHFW PDWSHBL\ROQV7W\RU&
'HFHPEHU

/HDUQLQJ_2 X&WFRP&M2 &/2

&RXUVH 3DUWLFLSDWLRQ RI JUDGH
\$ URXWLQH DQG FULWLFDO HQJDJPHQW ZLWK WKH FRXUVH UH
DWWHQGDQFH LV H[SHFWHG PHUHO\ EHLQJ SUHVHQW LQ WKH V
6WXGHQWV ZLOO EH HYDOXDWHG RQ WKH TXDOLW\ UDWKHU WK
GLVFXVVLRQV DQG DFWLYLWLHV %H VXUH WR GRFXPHQW \RXU
FUHDWH D FOHDU GLYLVLRQ RI ODERU DVVLJQPHQWV IRU DQ\
UHVSQRQVLEOH IRU DQG ZKHQ LW ZLOO EH FRPSOHWHG

/HDUQLQJ_2 X&WFRP&M2 &/2

*UDGLQJ ,QIRUPDWLRQ



ZZZ VMVX HGX VWXGHQWFRQGXFW GREFV \$FDGHPLFB, QWHJULWE

<R XRUZ QR PPLWMPBQDUQHQLGHEQFRXGUQURODQDQDQRWGHWDVQHYHDQWKH
8QLYHULVQWHSQRQWHTXRWMHRHKRQHQWDXDUFDGIFRIZZRHNDFXDQHPEBWAU
UHTXWBRHSDRQWVUDFWRKQRVILFHRI6WXGHQWH&RHQGRXSTXWQHQVQG
QRMWRORHQDWBHQFBLGKPLVKR&KHWDRVQGJDPPVRUSSDHVBWQWMLQRJUNRI
DVRXRUZQRWKKHVRIDQRWSKHLVRQHIVWKRXWJLYLQZISQQSJHUVXOJALGLO
VDQFWFLVRLQHQLYHBLWVQWPSDQDQWALJQXQHQRWVKHUVSHVFLRHZGRXOG
OLWBRQFQLXQHMDVVLJQDQHQRWHBLKDDOSHWHYLVRXEVFLVWUHGBS
DQWRVXEFDQRWKHFODVVSOHDVHQRWHWKDWWKH6-68\$FDGHPLF3RC

5HPLQGUHRYDLQWULIEIXWDLVRQRDQDFOWHV'RQRWFRS\RUUH XVHWKHZP
SHRSIDQHVTZRHQGLVVFXYNVLBRQSVHDVLDRUQRVPKEDLWLJQWPKBDWVHKEPLMRWLG
FUHIGQRWWKFOUDVWVHKLWHOI SODJLDRXKDVTPKHVVDIERRQWUUFHFWDRMSQQJLDUL
UHYLHZWKHPRGXOHVRQWKH&DQYDVVLWHDQGRUFRQWDFW

&RQVHGWFRUBL&QDVV DQGDQD&QJQFVWUDQWMBUDO

8QLYHULW\3RQWLF\\$ 6 ZZZ VMVX HGX WHTQXDWUHG RFWX 6 HQWSVG W R

5HIOHFW RQ WKH GRFXPHQWDU\ DQG I
'LVFXVVLRLQ SRVW SURYLGLQJ \RXU UH
+RZ GLG WKH OHJDF\ RI ODZ HQIR
HQIRUFLQJ VHJUHJDWLRLQ DQG SDU
.X .OX[.ODQ LQIOXHQFH WKH VWU
ULJKWV DFWLYLVWV OHJDO VWUD
UHSRUWLQJ VWUDWHJLHV"

:KDW DUH WKH SRWHQWLDO OHJDC
LQGLYLGXDOV ZKR SDUWLFLSDWHC
\HW WR IDFH WKH MXVWL FH V\VWH

+DYH MXVWL FH RUJDQL]DWLRQV L
UHIRUPHG WR NHHS RIILFHUV DFFF

37RXJK RQ &ULPH\ YHUVXV 3XEOLF +HDOWI
3ROLWLFV RI &ULPLQDO -XVWL FH 3ROLF\ 5

		<p><u>2SWLRQDO \$FWLYLW\</u> 'DZQODQG GRFXPHQWDU\ WUXHWWKWBQQ MXVWL FH DSSURDFKH V WR LVVXHV LPS FRPPXQLWLHV</p>
		<p>:KDW :RUNV" 5HVHDUFK (YLGHQFH %DVHG ,PSOHPHQWLQJ -XVWL FH 3ROLF\ 5HIRUPV &ULPLQDO -XVWL FH 3ROLF\ 5H&KH DUFK (YLGHQFH %DVHG 3UDFWLFHV RQ &ULP &ULPLQDO -XVWL FH 3ROLF\ &K IUR^{3P}W WR 3UDFWLFHV' <u>&ODVV \$FWLYLW\</u> -XVWL FH 2UJDQL]DWLRQ 5HIRUP :RUNV</p>
		<p>2SWLRQDO \$FWLYLW\ 6PLWK &DUORV 'D\ /HJDF\ (YHQW .H\QRWH E\ .HQ 1RHO WKH FR IRXQGH +XPDQ 5LJKWV 23+5 S P DW WKH 9LFWRU\ 6DOXW <u>9LFWRU\ 6DOXWH 3XEOLF \$UW DV 5H</u></p>
		<p>³ VW &HQWXU\ 3ROLFLQJ' DQG &DIORWWPRU -X <u>5HDGLQJV</u> &RQVWLWXWLRQDO 3ROLFLQJ DV D &R 5HSRUW E\ WKH 3ROLFH ([HFXWLYH)R <u>KWWSV FRSV XVGRM JRY 5,& 3XEOLF</u> <u>3UHVLG\&HQWVWXU\ 7DVNIRUFH RQ 3ROL</u> <u>KWWSV FRSV XVGRM JRY SGI WDVNIR</u> %DONR 5LVH RI WKH :DUULRU &RS /DUUWH\ ^{3+RZ} SROLFLQJ KDLQ EHD <u>*HRUJH)OR\G ' 7KH 0DUVKDOO 3URMHF</u> %DUURQ ^{3)UHGGGLH *UD\)LYH \H} <u>KWWSV WKHDSSHDO RUJ IUHGGGLH JU</u></p>

6DQ -RVp 3ROLFH 'HSDUWPHQW
KWWSV ZZZ VMSG RUJ DERXW XV RUJ
H VW FHQWXU\ SROLFLQJ

\$VVLJQPHQW 'XH
'RFXPHQWDU\ 5HYLHZ GXH RQ &DQYDV

-DLOV DQG 3ULVRQ &RQGLWLRQV
6LPRQ 0DVV ,QFDUFHUDWLRQ RQ

-DLOV DQG ,& ('HWHQWL~~R~~(QW&RHQWR~~S~~V
MDLO OLPLW XVH_RODURWKHUV _ \$3 1H

5HDG VHOHFWLRQV IURP 6DQQ'LMJRK7Q

3ULVRQV DQG &OLPDWH &KDQDJHW±:HH
7RQLJKW 3ULVRQ +HDW KWWSV \RXV

/HUPDQ 32IILFHU +HDOWK DQG :H
&DOLIRUQLD &RUUHFWRQDO 2IILFHU
KWWSV JVSS EHUNHOH\ HGX DVVHWV
DU\B SGI

-DLO 5HIRUP DQG -DLO ([SDQ~~SHRQ~~DLQF~~XW~~
5HVHDFK WKH ORFDO GHEDWH DQG S
QHZ PLOORQ MDLO IDFLOLW\ LQ 6
DUW PHQWDO KHDOWK DQG PHGLFDO V
UHVLGHQWV ([SORUH ORFDO QHZV PH
LQIRUPDWLRQ RQ WKH SUREOHPV DW
FRXUW PDQGDWHG UHIRUPV
7KHUH KDYH EHHQ VHYHUDO GHDW
KDSSHQHG" +RZ GLG MXVWLPH RIIL
DGPLQLVWUDWRU RU DJHQF\ KHO

:KDW LQIRUPDWLRQ LV DYDLODEO
ZHEVLWHV DQG RU WKH ZHEVLWH
\$UH DQ\ \$OWHUQDWLYHV WR ,QFDU

PDLLWJHQ FDUDEQDW @@ d@p€0@P °€

		3RVW \RXU WKRXJKWV DQG <u>UDHFOR BFRHQ</u> -DLO ([SDQVLRQ&DQYDXU'ZRE&VVL RQ)R

5HHQWU\ DQG ³&RPPXQLW\ &RUUHFWRQV'
DQG 'HVLVWHQFH

&ULPLQDO - XV&KL FH ³&RQWU RQLQHQWKH

&ULPLQDO - XV&KL FH ³RQHFWU\ DQG 5H
*HQHUDWLQJ 6XFFHVVIKO 2XWFRPHV ']

<RGHU ³:K\ WKH 8 6 0DUVKDOV 6
5HJLVWUDQWK 16 ~~ZHSISSHDOLODEOH DW~~
KWWSV WKHDSSHDO RUJ XV PDUVKDO
UDQW VZHHSV

&LUFOHV RI 6XSSRUW DQG \$FFRXQWDE
KWWSV QDWLRQDOUHHQWU\UHVRXUF
DFFRXQWDELOLW\