$QQXDO,;7LW

7UDLQLQJ 6HU

6H[XDOVFRQGXFW DQGVH[O

2FWREHU

6H[XDO 0LVFRQGXFW 8QGHU (2 $GGHQGXP $

:KDW LV ³6H[XDO 0LVFRQGXFW´"Ù

- (QJDJLQJ LQ DQ\ VH[XDO DFWLYLW\ $IILUPDWLYH &RQVHQW WR WKH VSH 0LVFRQGXFW ZKHWKHU RU QRW WKH RU FULPLQDO ODZ
- 6H[XDO DFWLYLW\ LQFOXGHV EXW LV WRXFKLQJ LQWLPDWH ERG\ SDUWV SHQHWUDWLRQ RI DQ\ ERG\ SDUW D LQFOXGHV DQ\ XQZHOFRPH SK\VLFDO XQZHOFRPH VH[XDO WRXFKLQJ 6H[X %DWWHU\ 5DSH DQG 'DWLQJ 9LROH

6H[XDO $VVDXOW 8QGHU

:KDW LV ³6H[XDO $VV

‡5DSH

‡)RQGOLQJ

‡,QFHVW

‡6WDWXWRU\ 5DSH

7KH (OHPHQWV RI 5DSH 7KH

'LG WKH 5HVSRQGHQ
QR PDWWHU KRZ V
&RPSODLQDQW¶V YD
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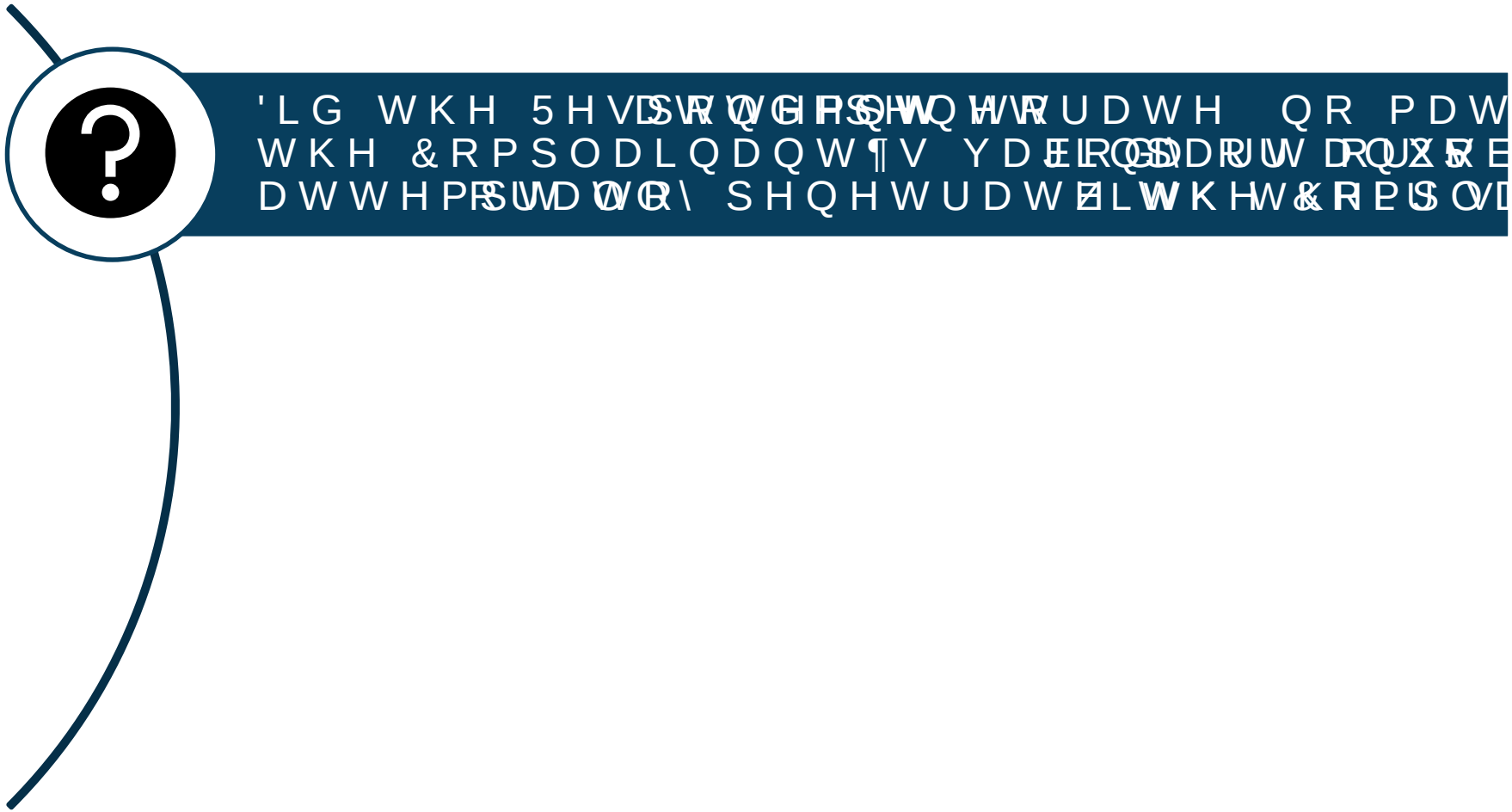
RUDOO\ SHQHWUDWH
ZLWK WKHLU VH[

7KH (OHPHQWV RI 5DSH 7KH



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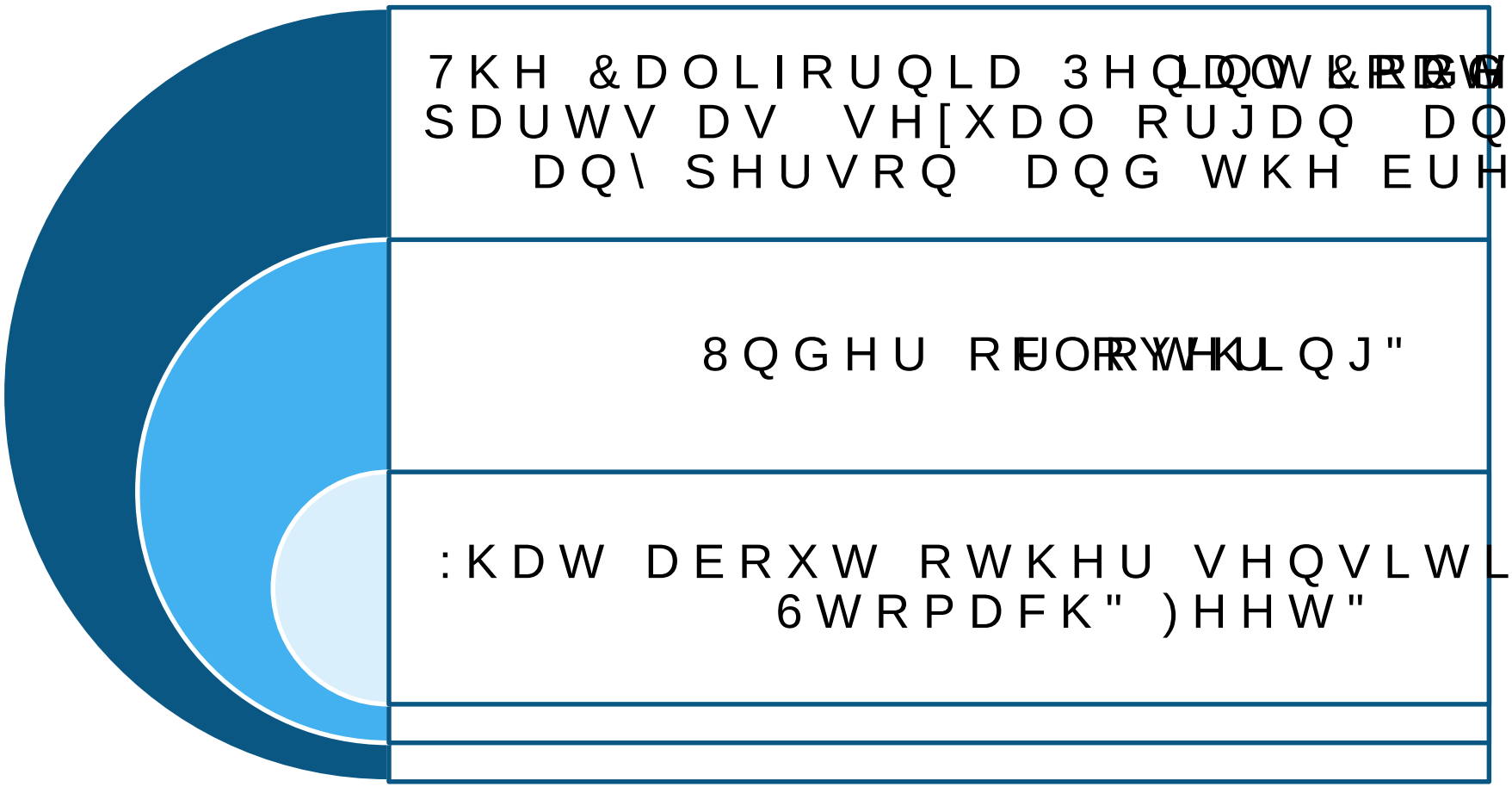

(OHPHQWV RI 6WDWXWRU\ 5

(OHPHQWV RI ,QFHVW

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&RPSODLQDQW DQG 5HVS

$UH &RPSODLQDQW DQG
UHODWHG WR HDFK RWK
ZKHUHLQ PDUULDJH LV S

'HJUHHV ZKHUHLQ PDUULDJH E\ ODZ

3DUHQWV DQG FKLOGUHQ

*UDQGSDUHQWV DQG JUDQGFKLOGU DQ DQFHVWRU GHVFHQGDQW UHODW JUDQGSDUHQWV DQG WKHLU JUHDW

6LEOLQJV

+DOI VLEOLQJV DQG

8QFOHV DXQWV DQG QLHFHV QHSKH

&$)DPLO\ &RGH DUUL DJHV EHWZHHQ SDUHQWV DQG FKLO GHVFHQGDQWV RI HYHU\ GHJUHH DQG EHWZHHQ VLEOLQ EORRG DQG EHWZHHQ XQFOHV RU DXQWV DQG QLHFHV R IURP WKH EHJLQQLQJ ZKHWKHU WKH UHODWLRQVKLS LV

/LNHO\ 1RW &RYHUHG XQGHU

3:LWK UHVSLUDWRU FOHDUDQFH WHVWLQJ

FRPPHQWDU\ RI DGPLQLVWUDWRUV RI DGPLQLVWU

UDSH GUXJ WRXFKLQJ DQRQ SU

WKH SHUSHWUDWRU¶V REVHVVLRQ ZLWK

FRQVWLWXWH FULPLQDO DFWV D

ODZV DQG OLNHO\ FRQVWLWXWH

UHFLSLHQW¶V RZQ FXOWXUH RI

)5

/LNHO\ 1RW &RYHUHG XQGHU

.LVVLQJ ZLWKRXW FRQVHQW

5HVSRQGHQW IRUFHV WKH &RP
5HVSRQGHQW¶V JHQLWDOLD ZLWK &RP
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5HVSRQGHQW&RPPRXQGHUVDQW¶V
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$ I I L U P D W L Y H & R Q V H Q W I R U $ G G H Q G X P $ D Q G $ G G H Q G X P %

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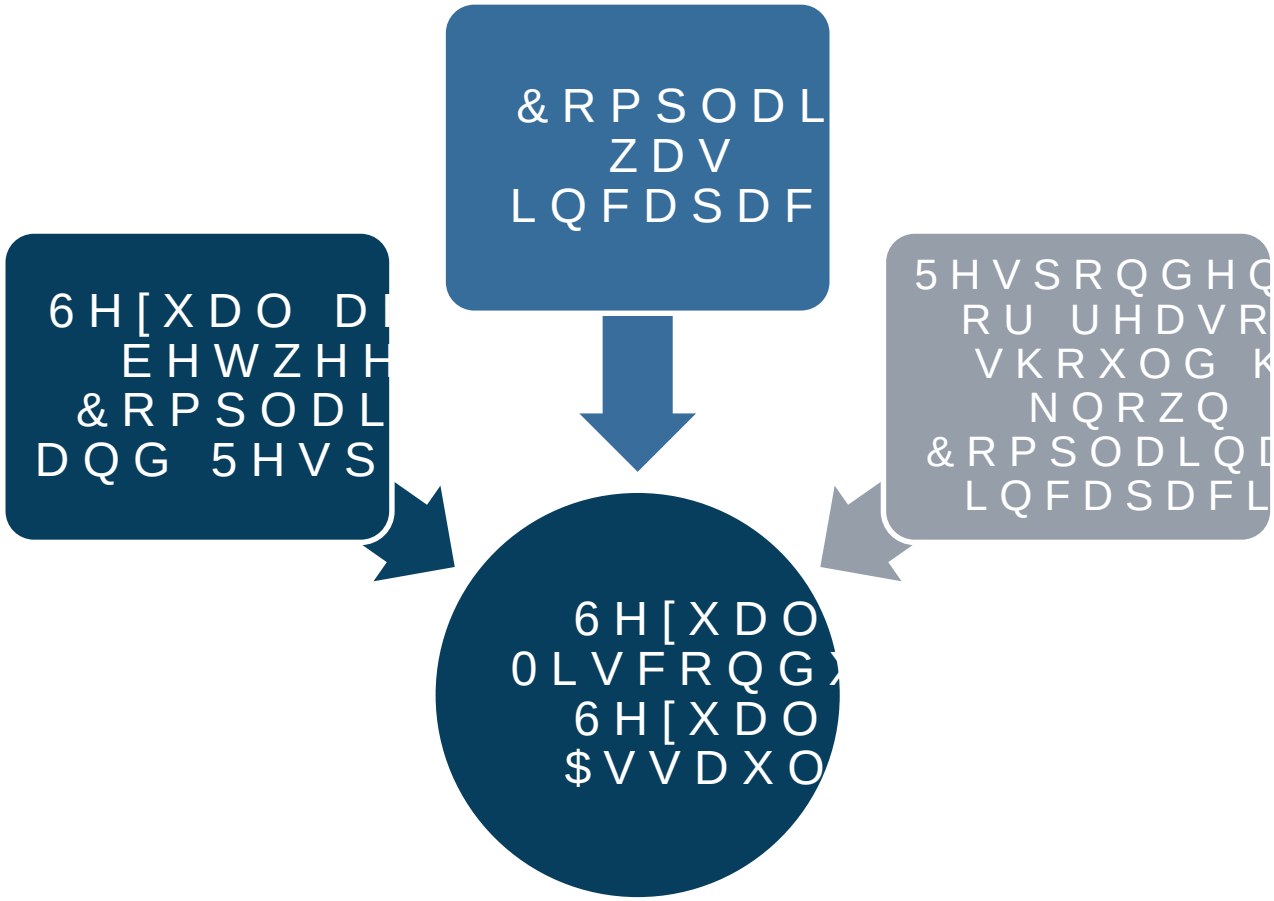

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9LGHR IRRWD
GHSHQGLQJ RQ
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& DQG 5 ZDON E
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6$57 5HSRU
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